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Use of Satellite Observations for Long-Term Exposure Assessment of Global Concentrations of Fine Particulate Matter

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Running title: Global PM_{2.5} from satellite for long-term assessment

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Abstract

Background: More than a decade of satellite observations offers global information about the trend and magnitude of human exposure to fine particulate matter (PM_{2.5}).

Objective: In this study, we developed improved global exposure estimates of ambient PM_{2.5} mass and trend using PM_{2.5} concentrations inferred from multiple satellites.

Methods: We combined three satellite-derived PM_{2.5} sources to produce global PM_{2.5} estimates at about 10 km × 10 km from 1998-2012 inclusive. For each source, we related total column retrievals of aerosol optical depth to near-ground PM_{2.5} using the GEOS-Chem chemical transport model to represent local aerosol optical properties and vertical profiles. We collected 210 global ground-based PM_{2.5} observations from the literature to evaluate our satellite-based estimates with values measured in areas other than North America and Europe.

Results: We estimated that global population-weighted ambient PM_{2.5} concentrations increased 0.55 µg/m³/yr; 95% CI: 0.43, 0.67 (2.1 %/yr; 95% CI: 1.6, 2.6)) from 1998 through 2012 inclusive. Increasing PM_{2.5} in some developing regions drove this global change, despite decreasing PM_{2.5} in some developed regions. The estimated proportion of the population of East Asia living above the World Health Organization (WHO) Interim Target-1 of 35 µg/m³ increased from 51% in 1998-2000 to 70% in 2010-2012. In contrast, the North American proportion above the WHO Air Quality Guideline of 10 µg/m³ fell from 62% in 1998-2000 to 19% in 2010-2012. We found significant agreement between satellite-derived estimates and ground-based measurements outside North America and Europe (r=0.81; n=210; slope=0.68). The low bias in satellite-derived estimates suggest that true global concentrations could be even greater.

Conclusions: Satellite observations provide insight into global long-term changes in ambient PM_{2.5} concentrations. Satellite-derived estimates and ground-based PM_{2.5} observations from this study are available for public use.

Introduction

Long-term exposure to fine particulate matter (PM_{2.5}) is associated with morbidity and premature mortality (Dockery et al. 1993; Pope et al. 2009). The Global Burden of Disease (GBD) assessment attributed 3.2 million premature deaths per year to ambient PM_{2.5} exposure, such that PM_{2.5} is one of the leading risk factors for premature mortality (Lim et al. 2012). Assessments and indicators of the health effects of long-term exposure to PM_{2.5}, such as the GBD assessment, the WHO assessment http://www.who.int/gho/phe/outdoor_air_pollution/burden/en/ and the Environmental Performance Index (<http://epi.yale.edu>), rely on an accurate representation of both magnitude and spatial distribution of PM_{2.5}. Long-term trends in PM_{2.5} concentration can inform whether appropriate steps are being taken to mitigate health and environmental outcomes, and can motivate additional action. Global monitoring can occur from a single satellite as it orbits the earth, minimizing artifacts that may result from regional differences in ground-level network design and operation. Satellites also offer one of the few observationally based sources for long-term PM_{2.5} concentrations that can represent long-term exposure and detect significant changes in many parts the world.

Satellite retrievals of Aerosol Optical Depth (AOD), which provide a measure of the amount of light extinction through the atmospheric column due to the presence of aerosol, have a global data record extending more than a decade. Differing design characteristics between satellite instruments and their retrievals can benefit particular applications. For example, Collection 5 retrievals from the MODIS instrument (Levy et al. 2007) provide relatively frequent (daily) global observation and accurate AOD over dark surfaces, but are subject to unknown changes in instrument sensitivity with time which could introduce artificial trends. Retrievals from the MISR instrument (Diner et al. 2005; Martonchik et al. 2009) require around 6 days for global

coverage, but are accurate for both AOD and trend studies based upon comparisons that include AOD measurements from the AERONET ground-based sun photometer network (Zhang and Reid 2010). SeaWiFS (Hsu et al. 2013) instrument sensitivity was stable to within 0.13% over its mission, making it applicable for temporal trends (Eplee et al. 2011), but is less accurate over land for absolute AOD compared with MODIS or MISR due to the lack of a mid-infrared channel (Petrenko and Ichoku 2013).

The relationship between AOD and $PM_{2.5}$ depends on aerosol vertical distribution, humidity, and aerosol composition, which are impacted by changes in meteorology and emissions. One technique of relating AOD to near-surface $PM_{2.5}$ uses the ratio of $PM_{2.5}$ to AOD simulated by a chemical transport model. This parameter allows a ground-level $PM_{2.5}$ estimate to be calculated from satellite AOD retrievals. This approach was first demonstrated using the MISR instrument with the GEOS-Chem chemical transport model (www.geos-chem.org) over the United States for 2001 (Liu et al. 2004), and subsequently extended globally for each of the MODIS and MISR instruments for 2001-2002 at a spatial resolution of about $100 \text{ km} \times 100 \text{ km}$ (van Donkelaar et al. 2006).

The first long-term mean, global, satellite-derived $PM_{2.5}$ estimates used this technique to combine filtered values from both MODIS and MISR over 2001-2006 at a spatial resolution of about $10 \text{ km} \times 10 \text{ km}$. This dataset demonstrated promising agreement with coincident ground-based observations over North America ($r=0.77$; slope = 1.07) and globally ($r=0.83$; slope = 0.86) (van Donkelaar et al. 2010). We hereafter refer to this dataset as Unconstrained (UC), owing to the unrestricted freedom it gave satellite AOD retrievals to represent the total aerosol column with no influence from the simulated aerosol column.

Improved correlation with ground-based observations for the year 2005 was achieved using optimal estimation (OE) (van Donkelaar et al. 2013). OE constrained AOD retrievals from MODIS top-of-atmosphere reflectances based on the relative uncertainties of observational and simulated estimates (van Donkelaar et al. 2013). The PM_{2.5} estimates produced with this dataset used vertical profile information from the CALIOP satellite instrument to inform the relation of column AOD to ground-level concentrations.

Boys et al. (2014) created a time-series of PM_{2.5} anomalies by combining AOD from both SeaWiFS and MISR satellite instruments with spatiotemporal information on the PM_{2.5} to AOD relationship from a GEOS-Chem simulation over 1998-2012 inclusive. In this paper, we extended the OE-based PM_{2.5} estimates to 2004-2010 and combined them with the UC PM_{2.5} values of van Donkelaar et al. (2010) to produce a global, decadal PM_{2.5} dataset at approximately 10 km × 10 km, with improved representation of PM_{2.5} compared to either dataset alone. We then applied the temporal variation based upon SeaWiFS and MISR (Boys et al. 2014) to estimate annual global PM_{2.5} estimates and trends over 1998-2012 at 10 km × 10 km resolution.

Materials and Methods

Production of satellite-derived estimates

We first produced a decadal mean PM_{2.5} estimate over 2001-2010. Following Boys et al. (2014), we combined retrievals from SeaWiFS and MISR (See Supplemental Material, “Description of satellite instrumentation”) with time-varying GEOS-Chem (See Supplemental Material, “Description of the GEOS-Chem chemical transport model”) simulated AOD to PM_{2.5} relationships to infer annual variation in PM_{2.5} over 1998-2012 at a spatial resolution of 0.1° x 0.1° (henceforth referred to as SeaWiFS&MISR PM_{2.5}). We then extended both OE and UC to

cover the temporal range 2001-2010 by applying to each dataset the ratio of a coincident SeaWiFS&MISR $PM_{2.5}$ to its decadal mean. We evaluated each extended dataset using ground-based $PM_{2.5}$ observations over North America. The global MODIS land-cover type product (MOD12; Freidl et al. 2010) was used to determine the relative weighting of each dataset over each land cover type that maximized agreement with ground-level $PM_{2.5}$ observations following van Donkelaar et al. (2013) to produce an initial global combined decadal mean $PM_{2.5}$ estimate.

We subsequently produced a consistent time series of $PM_{2.5}$ over 1998-2012, inclusive. We applied to the initial decadal mean dataset the relative temporal variation of SeaWiFS&MISR $PM_{2.5}$ to produce monthly satellite-derived $PM_{2.5}$ estimates over 1998-2012. We calculated absolute annual trends for both datasets using a general least squares regression of five month box-car filtered (i.e. median of +/- five months from the center date), deseasonalized monthly mean values following Zhang and Reid (2010). This approach reduces the impact of any individual season and its relative sampling rate on the overall trend. Confidence intervals are based on the integration of a Student's t-distribution, and account for auto-correlation. We use an alpha value of 0.05 to define statistical significance. We superimposed these trends to create global annual $PM_{2.5}$ estimates that were consistent in trend with SeaWiFS&MISR and in magnitude with the initial decadal mean. We used a three-year running median to reduce noise in the annual satellite-derived values. All $PM_{2.5}$ concentrations are given at 35% relative humidity, except for comparisons involving ground-level measurements outside North America, where the 50% standard is adopted for consistency with the ground-level measurements. This difference in standard can increase satellite-derived $PM_{2.5}$ estimates by approximately 10% due to additional water uptake where hydrophilic aerosols, such as sulfate, dominate.

Following Evans et al. (2013), we estimated dust-free and seasalt-free PM_{2.5} concentrations by scaling total satellite-derived PM_{2.5} concentrations by the monthly simulated relative contribution of the remaining species. These scalars were linearly interpolated from the local simulation resolution to 0.1° x 0.1°. We produced satellite-derived PM_{2.5} surface area estimates for interpretation of the dust and seasalt-free PM_{2.5} estimates following a similar approach as PM_{2.5} mass concentrations, except that the GEOS-Chem model was used to relate AOD to surface area, rather than to mass (See Supplemental Material, “Description of satellite-derived PM_{2.5} surface area”).

Collection of ground-based observations for evaluation

We also collected ground-based PM_{2.5} observations over Canada and the United States at locations operational for at least 8 years between 2001-2010. We required European sites to be in operation at least 3 years throughout the decade; less than North American locations due to the more recent expansion of this regional network. Details of these monitors are given in the Supplemental Material, “Description of ground-level monitor sources from established networks.”

We collected global ground-based PM_{2.5} measurements from published values based upon a literature review using the search terms “aerosol” and “PM_{2.5}” in the Thomson Reuters Web of Knowledge, yielding ca. 3500 results. We selected 541 papers for detailed evaluation from this list and in-publication citations, and found 342 contained relevant PM_{2.5} observations. We extracted mean PM_{2.5}, seasonal variation, city, country, site description and geo-coordinates as available. We approximated geo-coordinates using GoogleEarth and in-reference maps at 70 locations. Geocoordinates were not clear for 110 sites; we assumed measurements occurred within 0.1° of city center. When necessary, we approximated seasonal variation from figures. We

considered an observational period every third month as sufficient for annual representation. Where possible, we inferred annual mean concentrations for sites without observations every third month using the relative seasonal variation from nearby published values at distances of up to 1° . We excluded industrial, traffic and military studies. We combined observational $PM_{2.5}$ values at locations within 0.1° , weighted by their temporal coverage, and used only locations that had at least 3 months of direct observation, for a total of 210 ground-based comparison sites outside of Canada, the United States and Europe. A complete list of this ground-based database is available from http://fizz.phys.dal.ca/~atmos/martin/?page_id=140 (“Ground-level $PM_{2.5}$ ”) or by contacting the authors.

We evaluated the combined fifteen year $PM_{2.5}$ timeseries from MODIS, MISR, and SeaWiFS (henceforth ‘combined’) with annual average ground-based $PM_{2.5}$ observations. We conducted the comparison versus $PM_{2.5}$ measurements from ground-based monitors on all days (not only days coincident with satellite observations). We included in the evaluation the 110 global comparison sites from the literature without clearly specified geo-coordinates; we conducted evaluations both assuming each ground-based measurement was located at its respective city center and up to 0.1° , or one pixel, away.

Gridded population estimates at $2.5'$ resolution from CEISIN [CEISIN (Center for International Earth Science Information Network) 2005] at five year intervals starting from 1995, are regridded onto $0.1^\circ \times 0.1^\circ$. Years beyond 2005 are based upon projections. We estimated year-specific population densities using linear interpolation.

Results

Figure 1 (top panel) shows decadal mean satellite-derived $PM_{2.5}$ concentrations over North America. Higher concentrations are visible in the eastern United States and in the San Joaquin Valley of California. Figure 1 also shows long-term mean ground-level $PM_{2.5}$ measured during this period over Canada and the United States and comparison with the satellite-derived estimates. Significant overall agreement is found (slope=0.96, $r=0.76$; 1σ error= $1 \mu\text{g}/\text{m}^3+16\%$, where 1σ error defines the error envelope within which 68% of data points reside). Separate comparisons of OE and UC satellite-derived estimates with the same ground-level monitors gave similar levels of agreement compared to one another ($r=0.70-0.71$; 1σ error= $1 \mu\text{g}/\text{m}^3+18-20\%$; not shown). Contributions of OE and UC to the final $PM_{2.5}$ estimates were approximately equal over most land cover types.

Figure 2 (top panel) shows decadal mean satellite-derived $PM_{2.5}$ concentrations over Europe. $PM_{2.5}$ is generally higher in Eastern Europe than Western Europe. The Po Valley in Italy is characterized by the highest regional concentrations, with average $PM_{2.5}$ for some local locations exceeding $35 \mu\text{g}/\text{m}^3$ from 2001-2010. Figure 2 also shows available long-term mean ground-level observations which are mostly for the latter part of this period. We find slightly weaker agreement with satellite-derived estimates for Europe than for North America, with slope=0.78, $r=0.73$ and 1σ error= $1 \mu\text{g}/\text{m}^3+21\%$. The weaker agreement likely results from the shorter temporal sampling of three years over this region, as illustrated in Supplemental Material, Table S1 and Table S2. A cluster of ground-level monitors in southern Poland with annual mean concentrations above $35 \mu\text{g}/\text{m}^3$ contributes to the disagreement. $PM_{2.5}$ concentrations in Southern Poland near Katowice are higher in wintertime compared to other seasons (Rogula-Kozłowska et al. 2013), when satellite observations are more frequent.

Figure 3 (top panel) shows global decadal mean satellite-derived $PM_{2.5}$. $PM_{2.5}$ concentrations in large populated regions of northern India and eastern China respectively exceed $60 \mu\text{g}/\text{m}^3$ and $80 \mu\text{g}/\text{m}^3$. The bottom right panel shows the 210 locations of global mean ground-level $PM_{2.5}$ concentrations outside Canada, the United States and Europe. Significant agreement ($r=0.81$) exists, but satellite-derived values tend to be lower than ground-level measurements, with an overall slope of 0.68. Some of this underestimate may arise from locations such as Ulaanbataar, Mongolia that experience higher concentrations in wintertime and nighttime $PM_{2.5}$ (World Bank 2011) when satellite observations are limited compared to other seasons or daytime. Bias in AOD retrieval may also play a role under the high aerosol loadings found in some regions, such as for MISR AOD over the Indian subcontinent (Dey and Di Girolamo 2010). $PM_{2.5}$ estimates from a sensitivity analysis in which the 110 sites with unspecified geo-coordinates were assigned a coordinate at the city center, rather than allowed to shift by up to one pixel from this center, showed similar, but slightly weaker agreement ($r=0.78$; slope=0.65).

Table 1 provides a summary of population-weighted satellite-derived exposure according to the regions used by the Global Burden of Disease (Lim et al. 2012). The estimated global population-weighted $PM_{2.5}$ exposure between 2001-2010 is $26.4 \mu\text{g}/\text{m}^3$ with large spatial variability (standard deviation of $21.4 \mu\text{g}/\text{m}^3$). South and East Asia have the highest estimated population-weighted mean exposures, at 34.6 and $50.3 \mu\text{g}/\text{m}^3$.

Figure 3 (middle) presents global estimates of satellite-derived $PM_{2.5}$ with mineral dust and sea salt concentrations removed for 2001-2010. High concentrations remain over southern and eastern China and the Indo-Gangetic Plain. $PM_{2.5}$ in North Africa, the Middle East and Northwest China have large relative decreases, suggesting a large dust component to regional $PM_{2.5}$. North America and Europe show little change in estimated $PM_{2.5}$ resulting from the

removal of mineral dust and sea salt. Some studies have suggested that the toxicity of particulate matter is more directly related to particle surface area than mass (e.g. Maynard and Maynard 2002; Oberdörster et al. 2005). Interestingly, spatial patterns of satellite-derived estimates of PM_{2.5} surface area were similar to spatial patterns of dust-free and sea salt-free PM_{2.5} (see Supplemental Material, Figure S1).

Table 1 summarizes dust and sea salt-free PM_{2.5} according to GBD region. Dust and sea-salt components of PM_{2.5} are responsible for about half the population-weighted decadal mean PM_{2.5} concentrations in Central Asia, North Africa/Middle East and East Sub-Saharan Africa and for three quarters of the concentration in West Sub-Saharan Africa. Dust and sea salt account for 10% of these concentrations in East Asia and 20% in South Asia. Dust and sea salt have little influence over European and North American concentrations.

Table 1 contains population-weighted PM_{2.5} trends over 1998-2012 for each GBD region. A corresponding global trend map following Boys et al. (2014) is in Supplemental Material, Figure S2. Statistically significant increasing population-weighted trends include 1.63 µg/m³/yr; 95% CI: 1.09, 2.17 (3.2 %/yr; 95% CI: 2.1, 4.3) over East Asia and 1.02 µg/m³/yr ;95% CI: 0.77, 1.27 (2.9 %/yr; 95% CI: 2.2, 3.6) over South Asia. These trends are generally consistent with changes in anthropogenic emissions (Klimont et al. 2013; Kurokawa et al. 2013) and increasing sulfate-nitrate-ammonium concentrations as described in Boys et al. (2014). Trends of 0.38 µg/m³/yr; 95% CI: 0.17, 0.59 (1.5 %/yr; 95% CI: 0.7, 2.3) in the Middle East are driven by mineral dust (Chin et al. 2014). Statistically significant downward population-weighted trends include -0.33 µg/m³/yr; 95% CI: -0.41, -0.25 (-3.3% %/yr; 95% CI: -4.1, -2.5) over North America and -0.25 µg/m³/yr; (95% CI: -0.37, -0.13) (-1.9 %/yr; 95% CI: -2.8, -1.0) over Western Europe. The

global population-weighted trend was $0.55 \mu\text{g}/\text{m}^3/\text{yr}$; 95% CI: 0.43, 0.67 (2.1 %/yr; 95% CI: 1.6, 2.6).

Figure 4 shows time-series snapshots of $\text{PM}_{2.5}$ over the four large-scale areas that demonstrate statistically significant trends. Dust-and-seasalt-removed time-series over the same regions are shown in Supplemental Material, Figure S3. Changes in $\text{PM}_{2.5}$ estimates occur over large spatial domains. Figure 5 shows local trends for a major city within each area. The satellite-derived $\text{PM}_{2.5}$ trend estimate for Detroit from 2001-2010 of $(-0.51 \mu\text{g}/\text{m}^3; 95\% \text{ CI: } -0.23, -0.79)$ was similar to the corresponding trend based on available ground-level observations $(-0.54 \mu\text{g}/\text{m}^3/\text{yr}; 95\% \text{ CI: } -0.17, -0.91)$. The full 15 year satellite-derived $\text{PM}_{2.5}$ time-series changes by $-0.43 \mu\text{g}/\text{m}^3/\text{yr}; 95\% \text{ CI: } -0.31, -0.55$, over 1998-2012. Beijing and New Delhi have significant increasing trends over this time period of $2.4 \mu\text{g}/\text{m}^3/\text{yr}; 95\% \text{ CI: } 1.7, 3.1$, and $1.7 \mu\text{g}/\text{m}^3; 95\% \text{ CI: } 1.0, 2.4$, respectively, following the regional trends described earlier. Kuwait City has an even larger increasing trend of $3.1 \mu\text{g}/\text{m}^3/\text{yr}; 95\% \text{ CI: } 2.3, 3.9$.

Differences in instrumentation, methodology and site selection inhibit the inference of trends from the $\text{PM}_{2.5}$ measurements we collected from published literature and can affect the comparability of these measurements with area-weighted values such as satellite-derived estimates. Comparisons can, however, be informative as shown in the Supplemental Material, Figures S4-S6, which overlay the literature-collected $\text{PM}_{2.5}$ for New Delhi, Kuwait and Beijing on the satellite-derived estimates from Figure 5. New Delhi measurements such as Hyvarinen et al. (2010), taken between 2007-2010, suggest a local underestimate in annual mean satellite-derived $\text{PM}_{2.5}$ that is driven by wintertime enhancement. Average satellite-derived $\text{PM}_{2.5}$ over Kuwait are within the 31 to $38 \mu\text{g}/\text{m}^3$ range measured by Brown et al. (2008) in 2004-2005. Disparate ground-based measurements in Beijing have a high level of variation with one another,

even during similar time periods. For example, Zhang et al. (2007) observed a mean $PM_{2.5}$ concentration of $142 \mu\text{g}/\text{m}^3$ at Beijing Normal University from 2001-2004, while Hopke et al. (2008) observed annual means of $28\text{-}42 \mu\text{g}/\text{m}^3$ during a similar period of 2002-2004 at urban and suburban locations. Satellite-derived $PM_{2.5}$ are more consistent with the lower range of available measurements in Beijing.

Figure 6 gives the cumulative distribution of estimated global annual mean $PM_{2.5}$ as a function of time, and for the three GBD regions with the greatest positive and negative trend magnitudes, respectively. Table 2 provides the percent of population living in areas where concentrations are above the WHO interim targets (IT3, IT2 and IT1) and guideline (AQG) for 1998-2000 and 2010-2012 for all regions. A small population-weighted global improvement (1%) of those living within AQG was estimated for 1998–2012, predominantly driven by improvements to air quality in North America that reduced the population exposed to $PM_{2.5} > 10\text{-}\mu\text{g}/\text{m}^3$ from 62% to 19%. Globally, we estimated that exceedance of IT1 ($35 \mu\text{g}/\text{m}^3$) rose by 8% over the same time period, reaching 30% by 2010-2012 as driven by increasing $PM_{2.5}$ concentrations in the heavily populated regions of South and East Asia. Because satellite-based values appear to underestimate concentrations measured by ground-based monitors, it is possible that the proportion of populations living above WHO targets could be higher.

Table 2 also shows the effect of population change on WHO target achievement as represented by applying a 1998-2012 population distribution on 2010-2012 $PM_{2.5}$ concentrations. This effect, taken as the percent difference between 1998-2000 and 2010-2012 achievement that occurs from population changes, is less than 25% across all targets for all regions, and less than ca. 10% in most cases. The number of people living above AQG in some regions has increased due to population changes, accounting for about a quarter of the change seen in Central Asia and South

Sub-Saharan Africa from 1998 to 2012. About half the change in Eastern Europe is due to population, although the overall change is small (2%). Population changes contributed to small reductions in population-weighted mean PM_{2.5} concentrations for regions such as Southeast Asia and North America.

Discussion

A broad community requires globally consistent estimates of long-term PM_{2.5} exposure and changes over time. For example, this information is used for global burden of disease assessments (Brauer et al. 2012; Lim et al. 2012; World Health Organization 2014), for environmental performance indicators (Environmental Performance Index 2014), and for epidemiologic studies of air pollution health effects at global (Anderson et al. 2012; Fleischer et al. 2014) and regional (Chudnovsky et al. 2012; Crouse et al. 2012; Vinneau et al. 2013) scales. Satellite retrievals offer the most globally complete observationally-based data source of this information, but improvements to these estimates are needed to reduce uncertainties.

In this work, we combined the attributes of several recent satellite-derived PM_{2.5} datasets to improve the accuracy in estimates of long-term exposure and changes in annual concentrations from 1998 to 2012. We inferred decadal mean PM_{2.5} from Unconstrained (van Donkelaar et al. 2010) and Optimal Estimation (van Donkelaar et al. 2013) based approaches utilizing the MODIS and MISR instruments. We then applied the relative temporal variation from SeaWiFS and MISR observations (Boys et al. 2014) to represent the annual variation over 15 years. The resultant combined dataset had significant agreement with 8+ year means of ground-based observations over North America (slope=0.96; r=0.76; 1 σ error=1 $\mu\text{g}/\text{m}^3$ +16%) and 3+ year means over Europe (slope=0.78; r=0.73; 1 σ error=1 $\mu\text{g}/\text{m}^3$ +21%) in non-coincident comparisons

that represent both retrieval and sampling induced uncertainties. This performance was better than for any of the individual datasets. The agreement between satellite-derived and ground-based $PM_{2.5}$ was higher when limited to coincident samples (i.e., when monitor and satellite data were restricted to only those days when the other was available, the approach used by many previous studies) compared with data not restricted in this manner (as in the present analysis). For example, the correlation of $r=0.77$ over North America for 2001-2006 previously given in van Donkelaar et al. (2010), drops to $r=0.70$ when unrestricted by instrumental co-sampling. The unrestricted comparisons used in this present work include any residual effect of satellite sampling on its long-term mean $PM_{2.5}$ estimates and therefore offer a better representation of uncertainty.

A major challenge in evaluating global satellite-derived $PM_{2.5}$ is the paucity of ground-based measurements. We collected a global dataset of 210 ground-based observations from the literature and used them to evaluate global satellite-derived $PM_{2.5}$ estimates, including many locations in India and China. Significant agreement was found ($r=0.81$), although these monitors revealed that satellite-derived $PM_{2.5}$ is typically lower than ground-based observations (slope=0.68). This underestimate may result from factors such as AOD bias in the MISR retrieval over South and East Asia (Kahn et al. 2009), missing satellite observations during wintertime and/or nighttime if $PM_{2.5}$ concentrations are relatively high at these times (e.g. Katowice, Poland and Ulaanbaatar, Mongolia), or coarse resolution of either the satellite-derived product or the simulation used to relate AOD to $PM_{2.5}$, which may obscure localized features. The potential underestimate in satellite-derived $PM_{2.5}$ outside North America and Europe furthermore suggests that true $PM_{2.5}$ concentrations may be even greater than we estimated.

Uncertainty in satellite-derived PM_{2.5} decreases with increased sampling and can vary by season. As a result, the satellite-derived PM_{2.5} estimates presented here are best used on large regional scales over multiple years. Studies interested in seasonal variation and/or smaller spatial scales would benefit from some degree of local validation, as available.

We found that decade-long population-weighted ambient PM_{2.5} concentrations estimated for East Asia were nearly double the estimated global mean of 26.4 µg/m³, and increased at an annual population-weighted rate of 1.63 µg/m³/yr; 95% CI: 1.09, 2.17 (3.2 %/yr; 95% CI: 2.1, 4.3) between 1998 and 2012. Population-weighted concentrations estimated for western Europe and North America over the same period changed by -0.25 µg/m³/yr; 95% CI: -0.37, -0.13 (-1.9 %/yr; 95% CI: -2.8, -1.0) and -0.33 µg/m³/yr; 95% CI: -0.41, -0.25 (-3.3%/yr; 95% CI: -4.1, -2.5), respectively, in contrast with increases over South Asia (1.02 µg/m³/yr; 95% CI: 0.77, 1.27; 2.9 %/yr; 95% CI: 2.2, 3.6) and the Middle East (0.38 µg/m³/yr; 95% CI: 0.17, 0.59; 1.5 %/yr; 95% CI: 0.7, 2.3). Satellite-derived estimates suggest that 30% of the global population lived in regions above the WHO IT1 standard (35 µg/m³) for PM_{2.5} in 2010-2012, up from 22% in 1998-2000. We found that most of the changes in exposure were driven by changes in PM_{2.5} rather than changes in population itself.

Both the satellite-derived PM_{2.5} estimates created in and ground-level observations collected for this study are freely available as a public good on our website (http://fizz.phys.dal.ca/~atmos/martin/?page_id=140) or by contacting the authors.

Further developments to satellite retrievals and simulated aerosol profiles will continue to allow improved representation of global exposures to PM_{2.5}. In particular, higher resolution satellite retrievals may better capture intra-urban variation (Chudnovsky et al. 2012). Recent

improvements to MODIS instrument calibration (Levy et al. 2013) may provide an additional data source for trends. Additionally, assessment of trends would benefit from better availability of longer time series of ground-level monitoring data.

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Table 1. Population-weighted ambient PM_{2.5} and trend within Global Burden of Disease^a regions.

Region	2001-2010 PM_{2.5} [µg/m³] ± SD	2001-2010 Dust and Seasalt-free PM_{2.5} [µg/m³] ± SD	1998-2012 PM_{2.5} Trend [µg/m³/yr] (95% C.I.)	1998-2012 PM_{2.5} Trend [%/yr] (95% C.I.)
Global	26.4 ± 21.4	21.2 ± 19.1	0.55 (0.43, 0.67)	2.1 (1.6, 2.6)
Asia Pacific, High Income	16.8 ± 6.4	15.3 ± 6.0	-0.06 (-0.2, 0.08)	-0.4 (-1.2, 0.4)
Asia, Central	17.3 ± 5.7	9.7 ± 3.1	0.29 (0.12, 0.46)	1.7 (0.7, 2.7)
Asia, East	50.3 ± 24.3	45.2 ± 22.5	1.63 (1.09, 2.17)	3.2 (2.1, 4.3)
Asia, South	34.6 ± 15.8	27.8 ± 13.2	1.02 (0.77, 1.27)	2.9 (2.2, 3.6)
Asia, Southeast	11.0 ± 6.4	10.2 ± 6.0	0.30 (0.21, 0.39)	2.7 (1.9, 3.5)
Australasia	3.0 ± 1.0	2.6 ± 0.9	0.01 (-0.02, 0.04)	0.3 (-0.7, 1.3)
Caribbean	7.0 ± 2.5	4.7 ± 1.5	-0.02 (-0.09, 0.05)	-0.3 (-1.3, 0.7)
Europe, Central	17.8 ± 2.6	16.2 ± 2.7	-0.22 (-0.48, 0.04)	-1.2 (-2.7, 0.3)
Europe, Eastern	12.6 ± 3.7	11.2 ± 3.5	-0.04 (-0.25, 0.17)	-0.3 (-2.0, 1.4)
Europe, Western	13.5 ± 4.6	12.1 ± 4.2	-0.25 (-0.37, -0.13)	-1.9 (-2.8, -1.0)
Latin America, Andean	6.6 ± 3.7	6.6 ± 3.7	0.09 (-0.05, 0.23)	1.4 (-0.7, 3.5)
Latin America, Central	8.5 ± 4.3	7.8 ± 4.3	-0.07 (-0.14, 0.00)	-0.8 (-1.6, 0.0)
Latin America, Southern	6.4 ± 2.4	5.4 ± 2.3	0.08 (-0.01, 0.17)	1.3 (-0.1, 2.7)
Latin America, Tropical	5.0 ± 2.6	4.9 ± 2.5	0.01 (-0.03, 0.05)	0.2 (-0.6, 1.0)
North Africa / Middle East	25.5 ± 10.7	11.5 ± 3.6	0.38 (0.17, 0.59)	1.5 (0.7, 2.3)
North America, High Income	9.9 ± 3.2	9.6 ± 3.3	-0.33 (-0.41, -0.25)	-3.3 (-4.1, -2.5)
Oceania	2.3 ± 1.1	2.3 ± 1.1	0.09 (0.06, 0.12)	3.9 (2.6, 5.2)
Sub-Saharan Africa, Central	11.4 ± 3.3	9.9 ± 2.7	-0.05 (-0.14, 0.04)	-0.4 (-1.2, 0.4)
Sub-Saharan Africa, East	9.8 ± 8.2	5.5 ± 2.4	0.10 (0.01, 0.19)	1.0 (0.1, 1.9)
Sub-Saharan Africa, Southern	5.9 ± 2.0	5.6 ± 1.9	0.09 (0.01, 0.17)	1.5 (0.1, 2.9)
Sub-Saharan Africa, West	30.8 ± 14.9	7.6 ± 2.9	-0.04 (-0.33, 0.25)	-0.1 (-1.0, 0.8)

^aLim et al (2012).

Table 2. Percent of population [%] in excess of WHO PM_{2.5} target within Global Burden of Disease^a regions.

Region	AQG (10 µg/m ³)			IT3 (15 µg/m ³)			IT2 (25 µg/m ³)			IT1 (35 µg/m ³)		
	1998-2000	2010-2012	2010-2012*	1998-2000	2010-2012	2010-2012*	1998-2000	2010-2012	2010-2012*	1998-2000	2010-2012	2010-2012*
Global	76	75	75	57	61	60	32	43	42	22	30	30
Asia Pacific, High Income	77	80	80	50	50	49	9	11	10	1	0	0
Asia, Central	78	84	82	56	69	68	14	18	17	2	2	2
Asia, East	95	99	99	86	95	95	67	84	84	51	70	70
Asia, South	92	100	100	75	98	97	43	78	77	27	52	51
Asia, Southeast	42	55	56	23	27	28	6	7	7	3	2	2
Australasia	0	0	0	0	0	0	0	0	0	0	0	0
Caribbean	15	27	24	2	2	2	1	0	0	0	0	0
Europe, Central	96	96	97	80	63	63	10	3	3	1	0	0
Europe, Eastern	66	68	67	28	22	21	2	0	0	0	0	0
Europe, Western	84	66	66	45	27	26	7	3	3	1	0	0
Latin America, Andean	23	26	26	10	4	4	1	0	0	0	0	0
Latin America, Central	43	34	34	24	9	9	11	1	0	6	0	0
Latin America, Southern	8	8	8	2	1	1	0	0	0	0	0	0
Latin America, Tropical	15	6	6	2	0	0	0	0	0	0	0	0
North Africa / Middle East	93	97	97	72	80	79	35	53	51	15	28	27
North America, High Income	62	19	20	17	2	2	1	0	0	0	0	0
Oceania	0	1	0	0	0	0	0	0	0	0	0	0
Sub-Saharan Africa, Central	65	60	59	34	27	26	5	2	2	1	0	0
Sub-Saharan Africa, East	32	38	38	19	19	20	8	9	9	3	3	3
Sub-Saharan Africa, Southern	3	8	7	0	0	0	0	0	0	0	0	0
Sub-Saharan Africa, West	97	96	95	91	84	84	74	56	55	51	32	32

^aLim et al (2012)

*Percent of population in excess of Target based on 2010-2012 PM_{2.5} concentrations, but using 1998-2000 population distribution. Other columns use a population distribution according to their respective years.

Figure 1. Decadal (2001-2010) mean PM_{2.5} concentrations over North America. White areas denote water or missing values. The top panel displays satellite-derived values. The lower right panel contains averages at ground-based sites in operation at least 8 years during this period. The lower left panel provides a scatterplot and statistics (slope = 0.96; r = 0.76; n = 974; 1σ error = 1 μg/m³ + 16%) of the two datasets. The 1:1 line is solid. The line of best fit is dash-dot. The observed 1-σ error is dotted. Ground-based and satellite values are not coincidentally sampled to avoid biasing the data toward clear-sky conditions when satellite retrievals occur. Numeric data for GBD regional means is provided in Table 1. A common, logarithmic color scale is used for Figures 1-4.

Figure 2. Decadal (2001-2010) mean PM_{2.5} concentrations over Europe. The top panel displays satellite-derived values. The lower right panel contains ground-based values in operation at least 3 years during this period. The lower left panel provides a scatterplot and statistics (slope = 0.78; r = 0.73; n = 512; 1σ error = 1 μg/m³ + 21%) of the two datasets, sampled on the same years but non-coincidentally on a daily basis. The 1:1 line is solid. The line of best fit is dash-dot. The observed 1-σ error is dotted. Numeric data for GBD regional means is provided in Table 1. A common, logarithmic color scale is used for Figures 1-4.

Figure 3. Global decadal (2001-2010) mean PM_{2.5} concentrations. The top panel displays satellite-derived PM_{2.5}. The middle panel contains mineral dust and sea-salt free PM_{2.5}. Inset maps display GBD regional population-weighted mean concentrations. Numeric data for GBD regional means is provided in Table 1. The bottom right panel shows the 210 global mean ground-level PM_{2.5} measurements collected from the literature for locations outside of Canada, the United States and Europe. The lower left panel provides a scatterplot and statistics (slope = 0.68; r = 0.81; n = 210; 1σ error = 1 μg/m³ + 47%) of the two all-species datasets, sampled on the same years. The 1:1 line is solid. The line of best fit is dash-dot. The observed 1-σ error is dotted. A common, logarithmic color scale is used for Figures 1-4.

Figure 4. Three-year running mean of satellite-derived PM_{2.5} over sample areas of significant trends. Sub-areas highlighted in Figure 5 are denoted by boxes with black circles around city centers. A common, logarithmic color scale is used for Figures 1-4.

Figure 5. PM_{2.5} time-series at the four sub-areas identified in Figure 4. Black dots and vertical lines denote monthly mean and 25th-75th percentile of satellite-derived values. Corresponding ground-level monitor (red x's) and satellite-derived coincident with ground-level monitor (blue diamonds) PM_{2.5} are also shown for Detroit in the same notation. Trend and 95% confidence intervals based on these values are provided in the inset. Supplemental Material, Figures S4, S5 and S6 overlay satellite-derived PM_{2.5} values with those collected from the literature for Beijing, New Delhi, and Kuwait.

Figure 6. Cumulative distribution of regional, annual mean PM_{2.5} for 1998-2012. AQG, IT3, IT2, and IT1 refer to the WHO air quality guidelines of 10, 15, 25 and 35 µg/m³.

Figure 1.

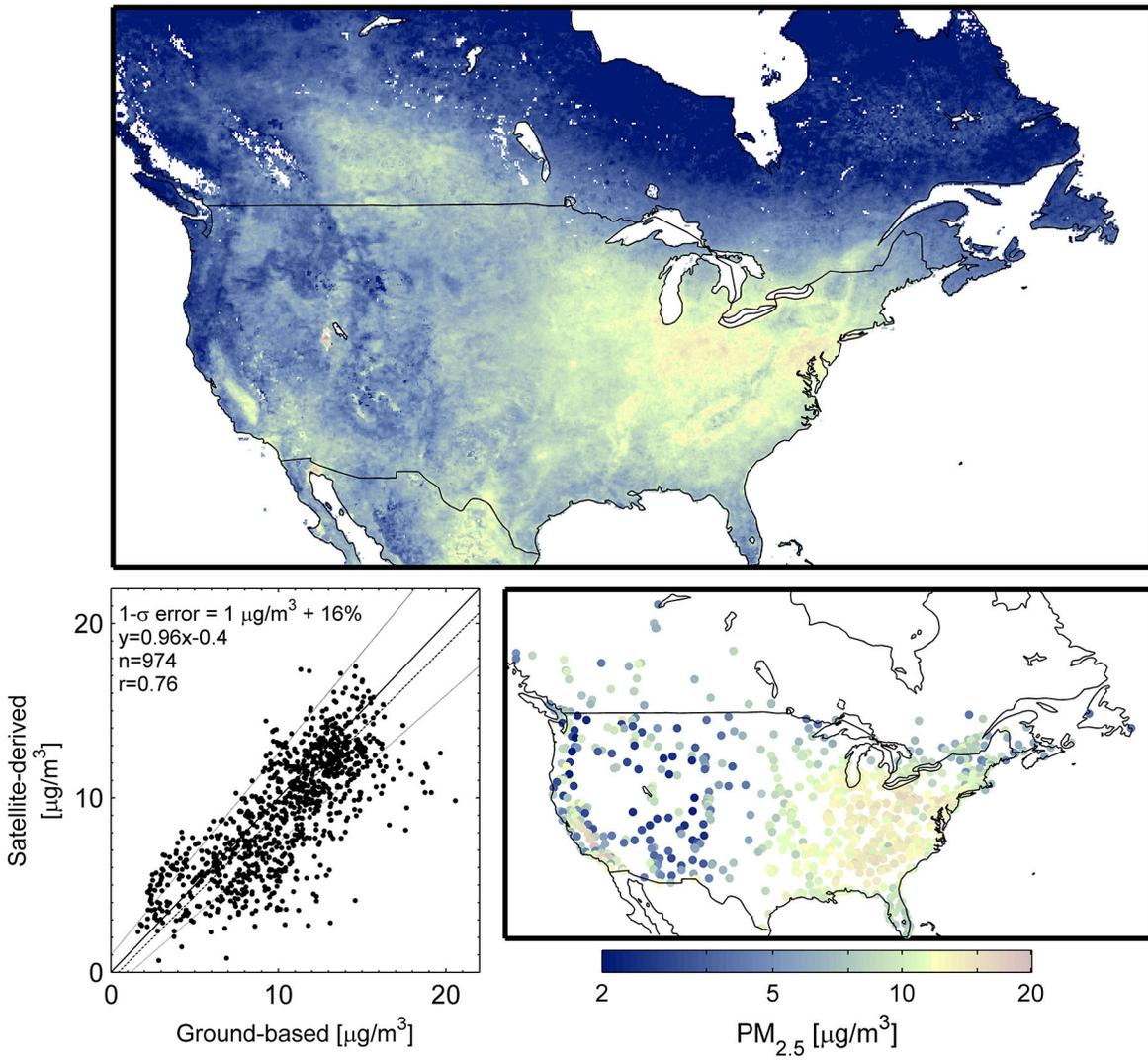


Figure 2.

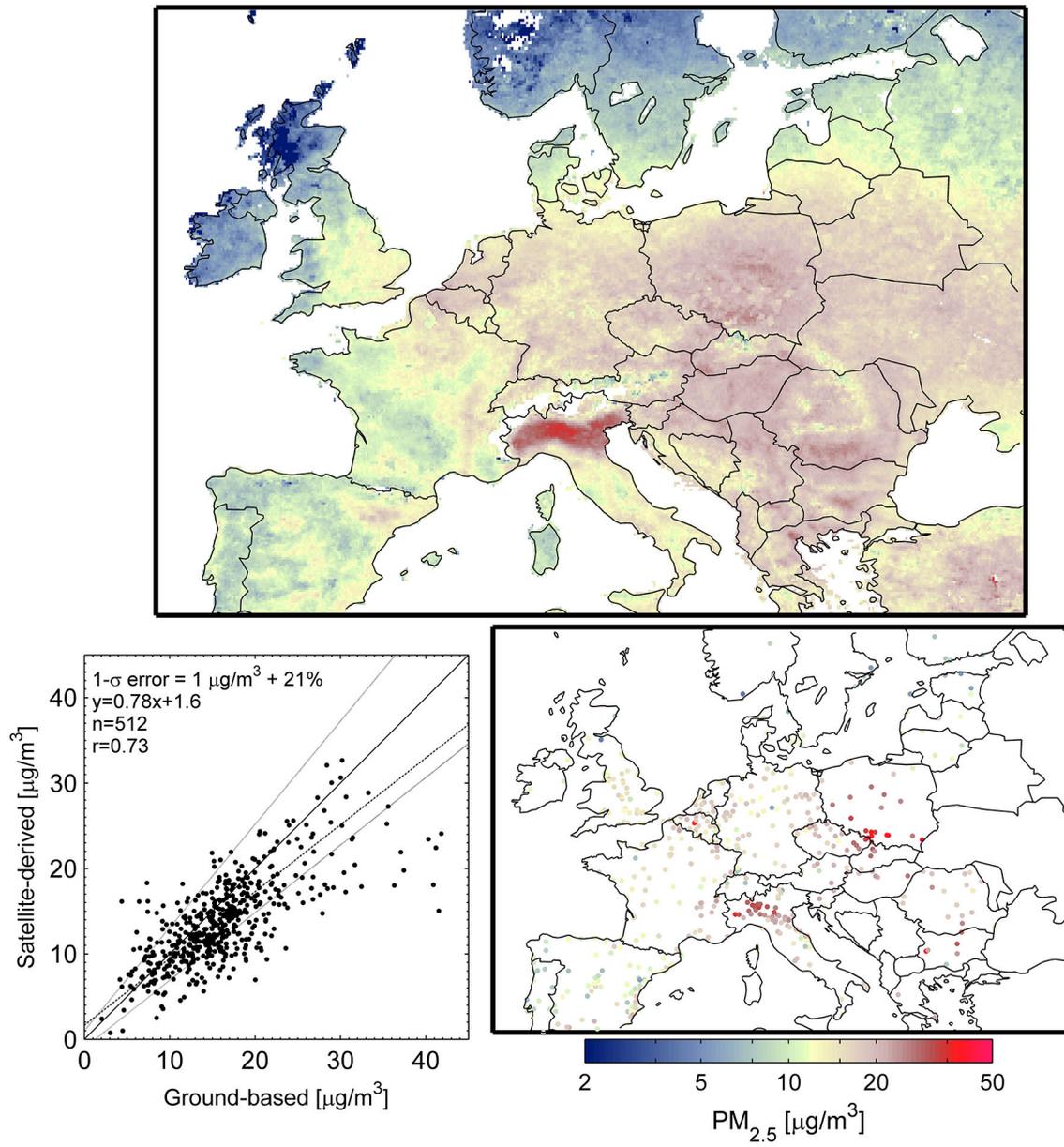


Figure 3.

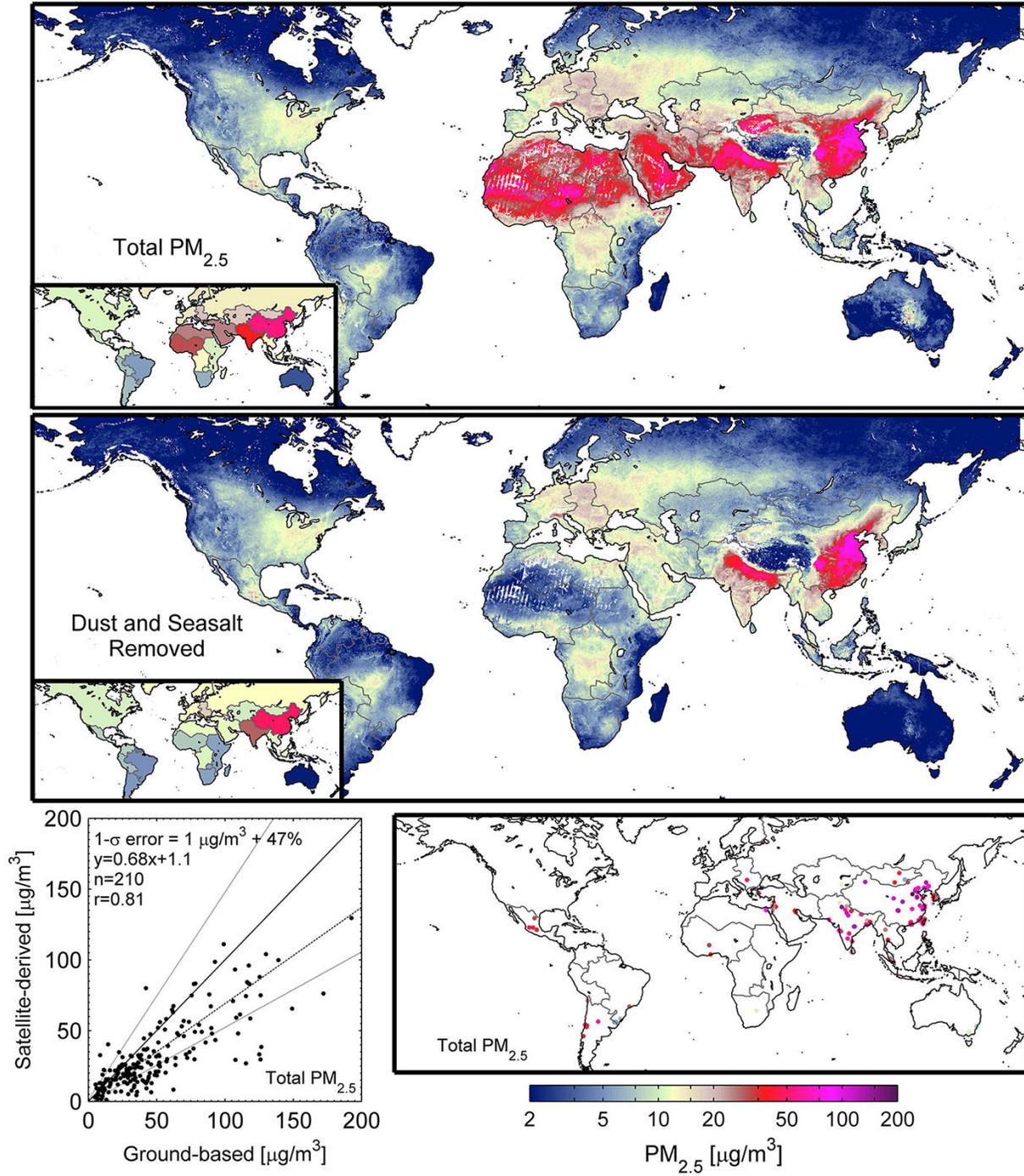


Figure 4.

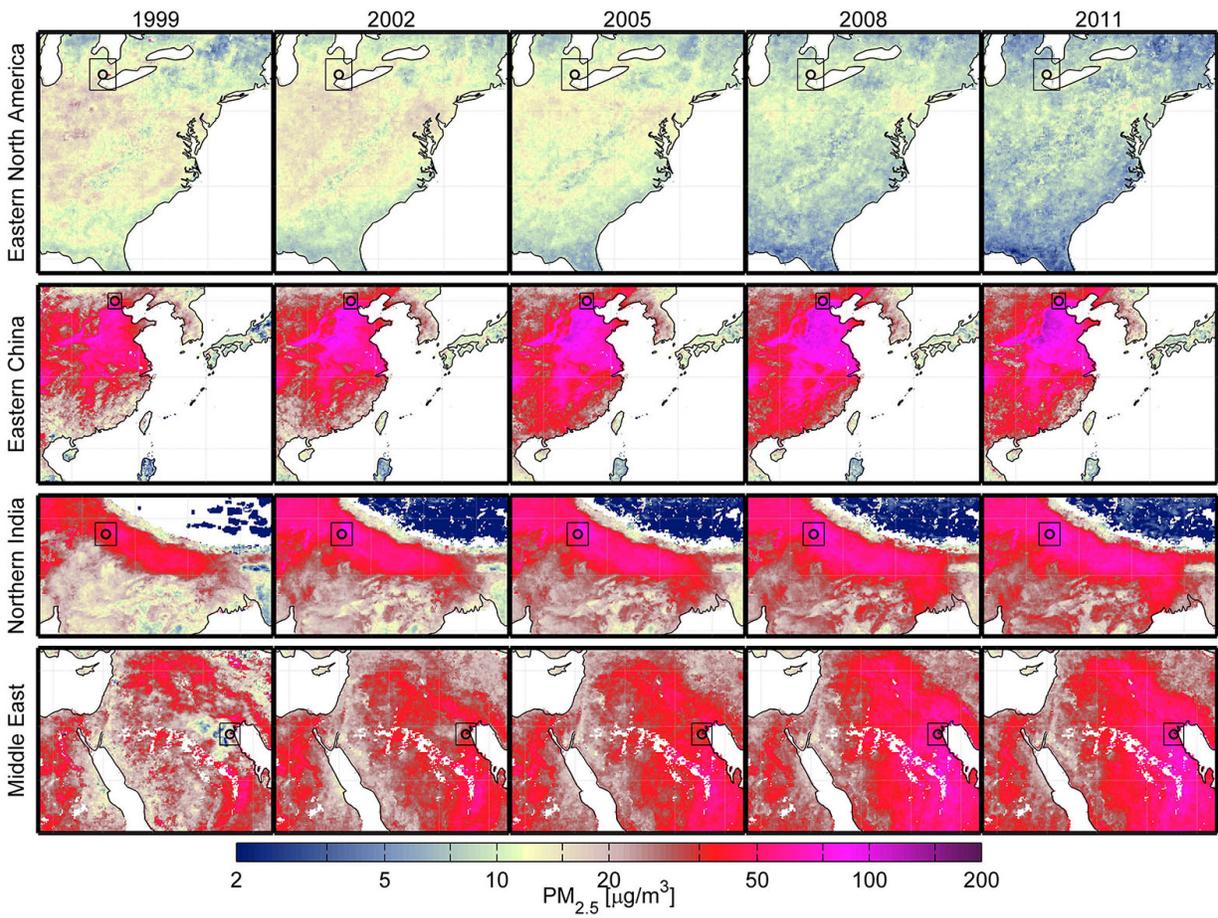


Figure 5.

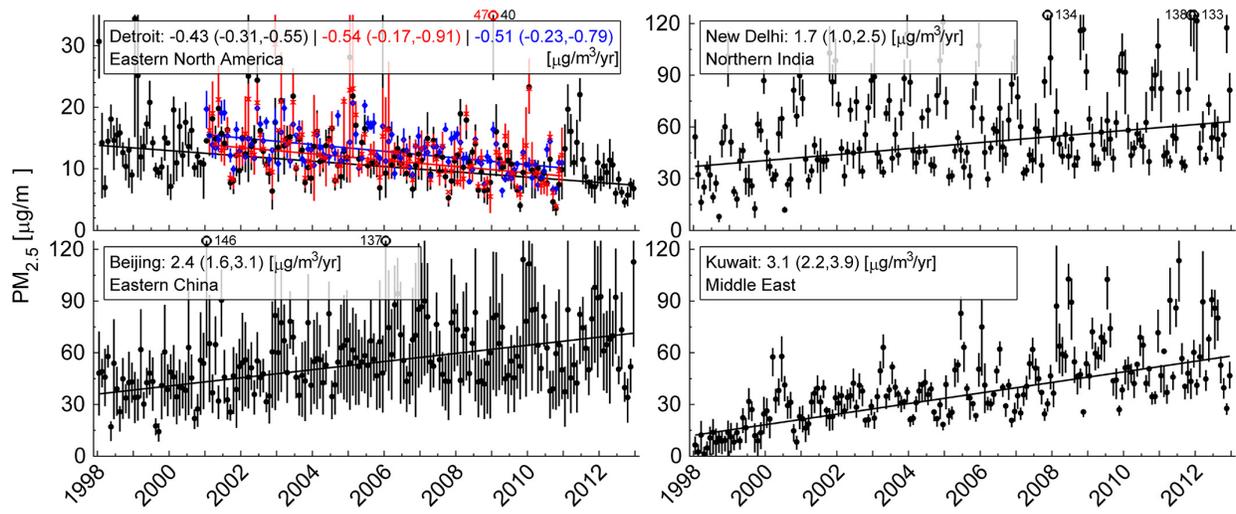


Figure 6.

