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Exposure to Traffic-Related Air Pollution in Relation to Progression in Physical Disability among Older Adults

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ABSTRACT

**Background:** Physical disability is common though not inevitable in older age and has direct bearing on a person’s ability to perform activities essential for self-care and independent living. Air pollution appears to increase the risk of several chronic diseases that contribute to the progression of disability.

**Objective:** Evaluate long-term exposure to traffic-related air pollution (TRAP) in relation to progression in physical disability.

**Methods:** We conducted our investigation within the Chicago Health and Aging Project. We measured participants’ exposures to TRAP using two surrogates: residential proximity to major roads (1993–) and ambient concentrations of oxides of nitrogen (NO$_X$; 1999–), predicted via a geographic information systems-based spatiotemporal smoothing model (cross-validation $R^2=0.87$) which incorporated community-based monitoring and resolves intra-urban exposure gradients at a spatial scale of tens of meters. Participants’ lower-extremity physical ability was assessed every 3 years (1993-2012) via tandem stand, chair stand, and timed walking speed.

**Results:** In multivariable-adjusted analyses (N=5708), higher long-term NO$_X$ exposure was associated with significantly faster progression in disability. Compared with 5-year decline in physical ability score among participants in the lowest quartile of NO$_X$ exposure, decline among those in the highest exposure quartile was 1.14 units greater (95% confidence interval [CI], -1.86 to -0.42), equivalent to 3 additional years of decline among those in the lowest exposure quartile. The association was linear across the continuum of NO$_X$ exposure; per 10-ppb increment in exposure, the 5-year decline in physical ability score was 0.87 unit greater (95% CI, -1.35 to -0.39). Proximity to major road was not associated with disability progression (N=9994).

**Conclusions:** These data join a growing body of evidence suggesting that TRAP exposures may accelerate aging-related declines in health.
INTRODUCTION

Aging-related physical disability has become a critical health concern because of its high associated health care costs and the rapidly growing number of older Americans (Administration on Aging 2009; Federal Interagency Forum on Aging-Related Statistics 2010). Disability represents the combined impact of common, often co-morbid chronic diseases and subclinical pathologic processes on a person’s ability to perform usual tasks and activities that are essential for self-care and independent living. Regarded as a key indicator of overall health in older adulthood (Manton et al. 1997; Pope and Tarlov 1991), disability becomes increasingly prevalent with advancing age, from about 10% among 65-74-year-olds in the US to more than 50% of those aged >85 (Manton et al. 2006; Seeman et al. 2010). Disability is the primary cause of long term care (Kemper 1992; McKinlay et al. 1995) and accounted for $350 billion in Medicare costs in 2009 (Manton et al. 2007). Therefore, reducing the number of disability-affected years during late life is of interest because disability threatens an individual’s independence and increases health care utilization and burden on informal caregivers (Fries 2003).

Exposure to air pollution—especially long-term exposure—appears to have multiple adverse health effects, including increased risks for cardiovascular and respiratory disease (Brook et al. 2010; Brunekreef et al. 2009; Committee on the Medical Effects of Air Pollutants 2006; HEI Panel on the Health Effects of Traffic-Related Air Pollution 2010; Pope and Dockery 2006), stroke (Brook et al. 2010; Pope and Dockery 2006; Wellenius et al. 2012b), age-related cognitive decline (Tonne et al. 2014; Weuve et al. 2012a), rheumatoid arthritis (Hart et al. 2009) and diabetes (Andersen et al. 2012; Brook et al. 2010; Chen et al. 2013; Coogan et al. 2012; Kramer et al. 2010; Pearson et al. 2010). Higher exposures also appear to be associated with pathophysiological processes that contribute to these conditions (e.g., hypertension, systemic
inflammation) (Brook et al. 2010; HEI Panel on the Health Effects of Traffic-Related Air Pollution 2010; Pope and Dockery 2006; Wellenius et al. 2013). Some of these health effects may be more pronounced in older adults (Fischer et al. 2003; Goldberg et al. 2000; Medina-Ramon and Schwartz 2008), in part because of the interplay between exposures and chronic disease processes (Anderson et al. 1990; Annesi-Maesano et al. 2003; Segal et al. 2002). Given that physical disability is a common functional consequence of these subclinical processes and chronic disease conditions ([Anonymous] 2009; Black and Rush 2002), it is likely that exposure to air pollution also influences physical disability in older age.

We conducted a study of long-term exposure to air pollution in relation to progression of physical disability in older age, by combining data from participants in the Chicago Health and Aging Project (CHAP) and exposure estimates derived using a model developed for the Multi-Ethnic Study of Atherosclerosis and Air Pollution (MESA Air) Study. Our work focused on traffic-related air pollution (TRAP), a major source in urban settings of exposures to toxic pollutants including but not limited to nitrogen oxides, carbon monoxide, ozone, and ultrafine suspended particles (Adar and Kaufman 2007; HEI Panel on the Health Effects of Traffic-Related Air Pollution 2010). We hypothesized that physical disability would progress faster among those with greater long-term exposure to TRAP. A growing number of people live in urban areas (United Nations 2014), where TRAP is most concentrated but not uniformly distributed (Jerrett et al. 2005), providing impetus for determining whether TRAP exposure shapes the disabling process and studying this question in intra-urban settings.
METHODS

Study population

We conducted our investigation within CHAP, a longitudinal study of residents, aged 65 and older, in a geographically defined area on the south side of Chicago, IL (Bienias et al. 2003; Evans et al. 2003). From 1993-1996, an original cohort of 6157 participants was recruited into CHAP (79% of all eligible persons, established by community census); 4644 newly age-eligible participants were recruited in successive cohorts. Until 2003, participants were drawn from 3 contiguous neighborhoods. Starting in 2003, participants were also recruited from an adjoining neighborhood. Altogether, the study area is approximately 15 square miles with participants living throughout. All participants underwent triennial in-home assessments during which they completed questionnaires and underwent evaluation of their cognitive and physical function (see Assessment of Physical Disability); 85% of all survivors, on average, completed follow-up visits since their baseline evaluations.

Assessment of exposure to traffic-related air pollution

We estimated participants’ exposures to TRAP using two surrogates: residential proximity to major roads and outdoor concentrations of oxides of nitrogen (NO\textsubscript{X}) at each participant’s residential location, as predicted by a spatiotemporal model described below. Participant’s exposure measurements were assessed specific to the time periods during which they resided in those locations. These measurements depended on participants’ residential locations and the periods during which they resided in those locations. All available residential locations were geocoded in ArcGIS 9.3 (ESRI, Redlands, CA) using the TeleAtlas Dynamap 2000 v.16.1 road network (Boston, MA). Geocodes were calculated using side offsets of 30 feet. Automated
geocoding was used for all addresses with an 80% or greater match on both spelling sensitivity and match score. Addresses that could not be automatically matched with at least 80% accuracy were matched manually. Nearly all of the locations (98%) were sufficiently complete and correct to allow geocoding to an exact location.

**Exposure to ambient oxides of nitrogen (NOₓ).** Our primary measure of exposure was local outdoor concentration of oxides of nitrogen (NOₓ) which serve as tracers of TRAP. For each CHAP residential location and for each 2-week interval between 1999 and 2011 (e.g., January 1 to 14, 1999; January 15-28, 1999; etc.), we generated predicted outdoor, ambient-source NOₓ concentration using a spatio-temporal modeling method optimized via maximum likelihood methods developed by MESA Air investigators (Keller et al. 2015; Sampson et al. 2011). These investigators developed a geographic information systems-based model, for Chicago (Gill et al. 2011), which incorporated hundreds of variables including geographic features, roadway information, and data from a community-monitoring campaign. They calculated a suite of geographic covariates for all MESA residential locations in Chicago. These covariates included distances to a variety of features (nearest airport; railroad; rail yard; city center; major roadway; roadway intersection; port; air pollution emission source; and truck route), information about the local geography within buffers of various sizes (sum of roadway lengths; sum of truck route roadway lengths; counts of intersections; percentages of residential, commercial, and industrial land use; percentage of impervious surface cover; percentage of vegetative land cover; population density; and total air pollution emissions). Additional geographically-based covariates included absolute and relative elevation, urban topography (to identify features such as “street canyons”), traffic dispersion model outputs (i.e., CALINE), and a variety of census data. The model also incorporated data from a community-monitoring campaign and included a long-term spatial
mean, temporal trends with spatially varying coefficients, and a spatiotemporal residual. The mean structure was derived from the large set of geographic covariates that was reduced using partial least squares regression. Temporal trends were estimated from observed time series and spatial smoothing methods were used to borrow strength between observations. The resulting model had a 10-fold cross-validation $R^2$ of 0.87 (described in detail in Keller et al. 2015). It was finely resolved both temporally (with predictions specific to 2-week intervals) and spatially (on the order of tens of meters) allowing it to predict temporally varying intra-urban exposure gradients. Figure 1 shows average predicted NO$_X$ concentrations from 1999 to 2011 in the CHAP study area, an area fully contained by the MESA Air modeling area.

The location-specific, 2-week NO$_X$ concentrations formed the building blocks for estimating a given CHAP participant’s long-term NO$_X$ exposure over a specific interval, described in the Statistical Analysis section. (Although the MESA Air Study developed Chicago-based models for other ambient pollutants, including fine and coarse PM (Keller et al. 2015; Zhang et al. 2014), the estimated concentrations did not vary sufficiently within the CHAP area or were unavailable for CHAP participants at the time of this study.)

**Distance to major road.** We conducted secondary analyses using residential distance to major road. Although predicted NO$_X$ concentration is a more refined measure of TRAP exposure, the sample with road proximity estimates included 75% more participants. For each residential location, we estimated distances to different classes of road: census feature classes A1 (interstate expressways), A2 (generally state highways) and A3 (some state highways and county roads), as well as truck routes, a major source of exposure to diesel particles.
Assessment of physical disability

Every three years, participants underwent performance-based assessments of their basic lower-extremity functions. The Short Physical Performance Battery (SPPB) measures the participant’s balance, lower-extremity strength, and gait. It entails three progressively more difficult standing tests (standing with feet side by side, a semi-tandem stand [one foot adjacent to but a half foot-length in front of the other], and then a full tandem stand [one foot immediately in front of the other]), each for up to 10 seconds; five repeated chair stands (rising from chair to standing position); and a timed walking test over an 8-foot course (gait speed). The SPPB has been used in a variety of epidemiologic studies of aging, and has well-established reliability and validity (Freiberger et al. 2012; Guralnik et al. 2000; Guralnik et al. 1994; Mendes de Leon et al. 2005; Mendes de Leon et al. 2002; Ostir et al. 2007). We divided scores on each of the three component tests into quintiles of performance (using cutoffs from baseline measurements), establishing an additional category for those who were unable to complete the test. This resulted in scores ranging from 0 (inability to complete the test) to 5, which we summed across the three tests for a summary measure of physical function (range, 0 to 15). Higher scores indicate a higher level of physical ability.

Statistical analyses

Measures of exposure. Our analyses targeted measures that reflected long-term TRAP exposure that occurred prospectively with respect to disability status.

To estimate each participant’s long-term exposure to NOₓ, we averaged the predicted NOₓ concentrations at each of her/his residential locations over the five-year period preceding her first eligible physical performance assessment. Because the NOₓ model began in 1999 and both
enrollment in CHAP and follow-up assessments were ongoing, we identified the first physical performance assessment and the NO\textsubscript{X} averaging period to use for these analyses following an iterative process. First, we designated a date that would be an initial placeholder for starting the NO\textsubscript{X} averaging. January 1, 1999 was the working start date for participants who were previously enrolled in CHAP or who enrolled by January 1, 2001 (2 years later), corresponding to the assumption that most non-institutionalized participants do not move between 3-year study cycles. For the remaining participants, we used responses to a question posed in the third field cycle (2000-2002) asking how many years they had lived in their current residential location, subtracting the number of years reported from their enrollment dates. The resulting date became the working start date, unless it fell before January 1, 1999, in which case, this latter date was designated the working start date. The working start date for all others (those who enrolled after January 1, 2001 and who did not respond to the questionnaire) was their enrollment date minus two years. As warranted, the five-year NO\textsubscript{X} average accounted for multiple locations and time residing at those locations.

From each participant’s working start date, we identified the first physical performance assessment that occurred five or more years later. Data from this and all subsequent assessments were used in our analyses. We then re-calibrated each participant’s NO\textsubscript{X} averaging period, designating the period as the five years prior to this physical performance assessment. For nearly all of these participants (94%), NO\textsubscript{X} exposure averaging began in 1999. We excluded participants who moved to a nursing home within five years following their averaging start date. We analyzed this measure in relation to concurrent and subsequently assessed physical performance (through 2012), analyses which included 10,911 observations from 5,708 participants.
We categorized distances to each road type as $\geq 200$ m, 100-199 m, 50-99 m, and $<50$ m (Karner et al. 2010); we also generated a composite measure equaling the minimum of the distances to truck route and class A1 and A2 road. We analyzed baseline roadway proximity (1993-2000) in relation to concurrent and subsequently assessed physical performance (1993-2012). These analyses included 23,434 observations from 9,994 participants.

**Association of TRAP exposure with physical disability.** We evaluated the relation of TRAP exposure to physical disability and disability progression by fitting multivariable-adjusted generalized estimating equations (GEE) regression models, with identity links (Zeger and Liang 1986), of repeated physical performance score. We fitted separate models for each measure of exposure, beginning with categories of the measure (for long-term NO$_X$ exposure, quartiles) and progressing to continuous measures as we established linearity in the association between exposure and physical performance. The GEE approach accounted for the correlation of within-individual repeated physical performance scores over the cycles.

All models included terms for age at the baseline physical performance assessment (continuous), sex, race (African American, white), years of education (continuous), household income (three categories and missing), baseline smoking status (current, former, never), time, in years, since the baseline physical performance assessment (continuous), and the cross-products of time with age, sex, race, education, income (distance to road only) and the air pollution exposure variable. Our principal interest was in the estimated parameter corresponding to the time-exposure cross-product, directly interpreted as the difference in annual rate of change in physical performance score across levels of exposure. For reporting, we converted the parameter estimate into difference in score change over a 5-year period. Results remained unchanged with further adjustment for other factors (e.g., alcohol consumption) and cross-products of other covariates.
with time (data not shown). Because baseline disability and health status was likely to be a result of TRAP exposure rather than a cause of it, we did not adjust our analyses for these factors. Tests for linear trend across categories of exposure were conducted using a single term taking on the median values of each category. We tested subgroup differences in the association of exposure with decline in physical performance using 3-way cross-products of the exposure measure, time, and group indicator.

Accounting for differential attrition. Attrition, especially from mortality, is common in longitudinal studies of older adults, and participants bearing greater physical disability are more likely to be lost to follow-up (Chatfield et al. 2005; Matthews et al. 2006). If the risk factor of interest also influences attrition, the resulting differential attrition can bias estimates of the causal association between that factor and progression in disability (Hernán et al. 2004; Weuve et al. 2012b). To explore the relation of air pollution exposure and physical performance to attrition in our data, we fitted pooled logistic regression models of continuation (the inverse of attrition) from study cycle to study cycle, following a procedure we previously adopted and refined (Tchetgen Tchetgen et al. 2012; Weuve et al. 2012b). We fitted separate models for each of the distance to roadway and NO\textsubscript{x} measures and included a variety of time-invariant (e.g., baseline age, sex, race, education) and time-varying terms (e.g., cognitive function score, diabetes), along with the exposure of interest and a time-varying term for physical performance (i.e., score at most recent visit). Notably, these continuation models can include variables that may be plausible intermediates on the causal pathway between air pollution exposure and disability. By contrast, it would not be appropriate to include these plausible intermediate variables in models estimating the total effect of air pollution exposure on disability progression.
Of CHAP participants in our analyses of NO\textsubscript{X} exposure, 24% died and 12% dropped out at some point after their index physical disability assessment. Risk of attrition was increased with higher long-term NO\textsubscript{X} exposure (hazard ratio [HR] per 10 ppb increment, 1.14; 95% confidence interval [CI], 0.99-1.31) and lower previous physical performance score (HR per standard deviation [sd] in score, 0.79; 95% CI, 0.74-0.85). (Roadway proximity was not associated with attrition.) Therefore, for analyses of NO\textsubscript{X} exposure, we used inverse probability-of-continuation-weighted regression to correct, at least in part, the possible bias introduced by the attrition related to this exposure and outcome (Cole et al. 2005; Hernan et al. 2000; Tchetgen Tchetgen et al. 2012; Weuve et al. 2012b). For each observation in our GEE model of NO\textsubscript{X} exposure and physical performance, we computed stabilized weights, as previously described (Weuve et al. 2012b), as the inverse of the predicted probability of continuation multiplied by the predicted probability of continuation from a model containing only the time-invariant variables of the GEE model.

**Sensitivity analyses.** We performed several analyses to assess the robustness of our findings. For NO\textsubscript{X} these included: restricting analyses to participants who did not move over the course of the exposure averaging period; exploring the potential influence of very small or large continuation weights on the results by using weights truncated at the 0.5th percentile 99.5th percentiles of the weight distribution; and repeating analyses using NO\textsubscript{X} averages computed over one, two, three, and four years. Averaging exposures over five years prior to disability more accurately characterizes the historic long-term exposures hypothesized to be affecting the course of physical disability. However, this approach limits the amount of disability data included in the analyses: the number of observations and participants included in these alternative analyses ranged from 12,097 observations from 6,041 participants (four-year NO\textsubscript{X} average) to 16,479 observations from 7,291 participants (one-year NO\textsubscript{X} average).
Sensitivity analyses applied to distance to road included: exploring the alternative A3 road proximity categories, ≥ 500 m, 251-500 m, and ≤ 100 m (Karner et al. 2010; Wellenius et al. 2012a); analyzing the composite variable, in which we classified participants as living “near a major road” if their residence was < 100 m from an A1 or A2 road, < 100 m from a truck route; or < 50 m from an A3 road; and restricting analyses to the subgroup of participants who were in the analyses of 5-year NO\textsubscript{X} exposure.

Sensitivity analyses of both TRAP measures included: differences in association by baseline smoking status, race, and adjustment for a composite measure of area-based socioeconomic status (see Supplemental Material).

All CHAP participants provided their written informed consent to engage in the CHAP protocols. This study was approved by the Institutional Review Boards of Rush University Medical Center and the University of Washington.

RESULTS

Exposure to oxides of nitrogen (NO\textsubscript{X})

Long-term exposure to NO\textsubscript{X}, as indicated by predicted concentrations averaged over five years, ranged from 20.7 to 56.0 ppb, with a mean of 39.7 ppb (standard deviation, 5.8 ppb). NO\textsubscript{X} exposure was markedly higher, on average, among participants who were African American (versus white), and had less formal education and lower household incomes (Table 1). Participants living in areas with higher NO\textsubscript{X} concentrations were also less likely to rate their health as excellent.
Unless otherwise specified, all NO\textsubscript{X} results presented below are from weighted analyses. In unadjusted analyses, irrespective of weighting, higher long-term NO\textsubscript{X} exposure was associated with worse physical performance at baseline (Table 1; see Supplemental Material, Figure S1 left). However, this pattern reversed with multivariable adjustment. For example, baseline physical performance scores were, on average, 0.26 unit higher per 10-ppb increment in NO\textsubscript{X} exposure (95% CI, 0.06 to 0.45). Nonetheless, the higher baseline scores associated with NO\textsubscript{X} exposure with were eclipsed over time by the faster rate of decline in performance among those with higher exposures (see Supplemental Material, Figure S2). Specifically, decline in physical performance was significantly more rapid among participants exposed to higher long-term concentrations of NO\textsubscript{X} ($p_{\text{trend}}=0.002$; Table 2; see Supplemental Material, Figure S2). Compared with participants in the lowest exposure quartile, performance among participants in the highest exposure quartile declined by 1.14 units (95% CI, -1.86 to -0.42) more per 5-year interval. With NO\textsubscript{X} exposure modeled as a continuous variable, a 10-ppb increment in exposure corresponded to a 0.87-unit excess decline in performance (95% CI, -1.35 to -0.39). Placing the magnitude of these estimates in context, those in the highest NO\textsubscript{X} quartile declined in performance over a 5-year interval to the same degree that those in the lowest quartile declined over an 8-year interval. Likewise, comparing participants 10 ppb apart in exposure, 5-year decline in physical performance in the more highly exposed individuals was equivalent to the expected 7-year decline in the less exposed individuals.

*Sensitivity analyses.* Results were smaller in magnitude when we truncated the analytical weights (Figure 2; see Supplemental Material, Table S3). Unweighted results were smaller still, but remained statistically significant. From analyses in which we averaged NO\textsubscript{X} exposures over four years and in which the earliest disability assessment included was in 2003, results were
consistent with results from analyses of five-year average NO\textsubscript{X} exposures. Estimated associations using shorter averaging intervals were discernibly smaller in magnitude and, with respect to two- and one-year exposure averages, not statistically significant. Results among participants who did not move and results adjusted for the composite measure of area-level SES, were similar to those from the primary analyses. Results did not vary substantially by race or smoking status (Figure 2).

**Distance to road**

Residential distances to road types were distributed most extensively across categories of A3 road (see Supplemental Material, Table S1): about half of participants lived <200 m from the nearest A3 road, and 9% lived <50 m away. Participants living closer to major roads, including A3 roads, were more likely to be African American (e.g., 73% of those <50 m from an A3 road were African American, compared with 61% of those living ≥200 m from an A3 road) and of lower socioeconomic status, marked by fewer years of education and lower household income (see Supplemental Material, Table S2).

Compared with ≥200 m to A3 road, shorter distance was associated with significantly worse physical performance at baseline in both unadjusted (see Supplemental Material, Table S2) and multivariable-adjusted analyses (see Supplemental Material, Figure S3; \( p_{\text{trend}} = 0.004 \)). With proximities to A3 road <200 m, performance declined more rapidly over time (Table 2), but these findings were small in magnitude. Moreover, although the linear trend p-value was 0.02, those living at 100-199-m distance declined an excess 0.24 unit (95% CI -0.38 to -0.10) in performance more over 5 years than those at >200 m, whereas those living at < 50 m declined at nearly the same rate. Minimum distance to truck route/A1 road/A2 road was not consistently
associated with baseline physical performance (results not shown) or longitudinal deterioration in performance (Table 2).

**Sensitivity analyses.** Results also were similar under the alternative scheme for classifying distance to A3 road, with closer proximity associated with significantly worse physical performance at baseline (see Supplemental Material, Figure S3; $p_{\text{trend}} = 0.01$). However, proximity to A3 road, using these alternative categories, was not associated with longitudinal decline in performance (see Supplemental Material, Table S3). As of enrollment, 16% of participants lived “near a busy road” (see Supplemental Material, Table S1). This status was not associated with baseline physical performance (results not shown) or with longitudinal decline in performance (see Supplemental Material, Table S3).

Restricting analyses to the 5708 participants who were in the analyses of 5-year NO$_X$ exposure, we analyzed residential distance to A3 road at the time at which their 5-year NO$_X$ averaging began (1999 for most). Compared with the primary analyses of A3, these analyses revealed a less consistent association of distance to A3 roadway with baseline physical disability, but a clearer gradient in disability progression with closer proximity to A3 road ($p_{\text{trend}}=0.05$; see Supplemental Material, Table S3). These results are consistent with the findings on NO$_X$ exposure in the same population.

Results did not vary significantly by smoking status or race (results not shown). Further adjustment for area-based SES modestly attenuated the association of distance to A3 roadway with baseline physical performance (results not shown).
DISCUSSION

In this large study of urban-dwelling older adults, physical disability progressed more rapidly among those with higher long-term exposure to ambient NO$_X$, a measure of exposure to TRAP. Comorbid conditions are extremely common among older adults, and studies of air pollution and single-system endpoints, such as cardiovascular and respiratory disease, may overlook the aggregate effects of air pollution exposure on multiple organ systems in this population. Evidence supporting such a relation could motivate using exposure-reduction policies to reduce the burden of physical disability on the population, particularly among African Americans, who, on average, experience higher air pollutant exposures than do whites (Lopez 2002). Our study assessed physical disabilities that predict an individual’s subsequent independence. In contrast to performance-based assessments of physical disability, activities-of-daily-living (ADL) disability entails self-reported difficulty in performing specific tasks. Factors other than physical disability, such as depressive symptoms and cognitive status, can influence ADL reporting. Moreover, performance-based assessments can detect lower-extremity deficits even in persons without an ADL disability (den Ouden et al. 2011). This subclinical detection capacity is relevant to characterizing the full range of air pollution’s effects on the health of older adults: persons with chronic health conditions that are associated with air pollution exposure (e.g., lung and heart disease (Eisner et al. 2008; Khan et al. 2013)) do perform substantially worse on these tests, and comorbidities worsen performance further (e.g., (Cesari et al. 2006)); however to a more subtle but detectable extent, performance is also worse among persons free of clinical disease but who have indications of subclinical pathologies, such as inflammation or atherosclerosis (Brinkley et al. 2009; den Ouden et al. 2014).
Our study is, to our knowledge, the first to evaluate long-term exposure to air pollution in prospective relation to progression in physical disability. Previous epidemiologic studies of air pollution exposure and disability were cross-sectional, evaluated acute effects of short-term exposures, used single self-reported measures of disability and exposures measured at the level of the community, or did not focus on older adults. In cross-sectional data from adults representative of the U.S. population in 1976-1981, higher exposure to ambient fine particulate matter, estimated at the metropolitan level, was associated with more restricted activity days among adults aged 18-65 years (Ostro 1987). Similar findings emerged from a time series study of adults (mean age, 43 years) in Toronto, Canada, from 1994-1999, when overall pollutant exposures were much lower: higher levels of short-term exposure to fine particulate matter and carbon monoxide were both associated with increased disability days (Stieb et al. 2002). The only previous study among older adults was set in China, where higher community-level ambient air pollution was associated with having at least one ADL disability seven years later (Zeng et al. 2010).

Our study introduces several critical strengths to advance the field, notably: individual-level estimates of long-term exposure, performance-based assessments of the trajectory of physical disability, and accounting for possible bias from selective attrition. However, several limitations merit attention. First, as necessitated by research on the health effects of exposure to long-term air pollution, our study follows an observational design, making it susceptible to bias from confounding. Confounding by socioeconomic disadvantage is a particular concern, because indicators such as education and income track inversely with exposure. The CHAP population is well characterized in this regard, and we took several approaches to adjust for socioeconomic disadvantage, including the use of cross-product terms between several socioeconomic indices.
and time. In sensitivity analyses, further adjustment for area-based socioeconomic disadvantage did not attenuate the association of NO$_X$ exposure with decline in physical performance (Figure 2). Finally, compared with unadjusted and unweighted results, weighted multivariable-adjusted results actually indicated a more deleterious association of long-term NO$_X$ exposure with this outcome (see Supplemental Material, Figure S1).

Second, the exposure measurements were based on participants’ residential locations, and thus limited by the assumption that participants spend most of their time at home, although this assumption may be reasonable for older individuals (Spalt et al. 2015). Personal air monitoring devices are impractical for long-term exposures in large epidemiologic studies. By contrast, GIS-based spatiotemporal models offer the ability to estimate individual exposures for thousands of participants, customized to time period. The MESA Air NO$_X$ exposure model, developed specifically for Chicago, accounts for small-scale variations in exposure from traffic and non-traffic sources, allowing us to distinguish among different exposure levels over space and time within the study area (Cohen et al. 2009; Keller et al. 2015; Sampson et al. 2011). We took several steps toward making the NO$_X$ measures optimal reflections of the long-term exposures that might influence disability. First, we averaged predicted exposures over the five years prior to disability assessment, offering gains in precision and accuracy beyond the use of exposure measures averaged over shorter periods or periods encompassing disability follow-up. Second, to avoid reverse causation bias, we excluded participants living in nursing homes. In such cases, estimated exposures could result from disability.

Third, the use of inverse probability-of-continuation weights addressed selection bias in the estimates of change in physical performance, but it had no bearing on bias from differential selection into the study, and, by extension, no bearing on estimates of baseline differences in
physical performance. Assuming that selection into the study fell under the same influences as continuation in the study, estimated associations of TRAP exposure with baseline physical performance would be biased upward (even in the presence of no relation), possibly explaining the observation of better baseline function with higher NO\textsubscript{X} exposure.

The extent to which our results might generalize to other settings is unclear. For example, the study population comprises African American and white older residents of a large Midwestern US city. Co-exposures and other factors that may influence susceptibility to TRAP’s effects on disability may be present (or lacking) in other populations. Moreover, outdoor NO\textsubscript{2} concentrations and NO\textsubscript{X} emissions have been falling steadily in the US (U.S. Environmental Protection Agency 2013a, b). Nonetheless, associations of air pollutant exposures with other health outcomes, such as cardiopulmonary mortality, have been remarkably robust across space and time, and some evidence hints at the lack of an effect threshold for some outcomes (e.g., stroke (Stafoggia et al. 2014; Wellenius et al. 2012b), lung cancer mortality (Pope et al. 2011), and total mortality (Correia et al. 2013)) or an exposure-response association that is steeper at lower levels of exposure for other outcomes (e.g., cardiovascular mortality (Pope et al. 2011)). Moreover, ambient pollutant concentrations in some regions of the world far exceed those in the CHAP area, and effect modification notwithstanding, our results may be pertinent to populations in those areas.

Finally, unlike long-term NO\textsubscript{X} exposure, road proximity was not consistently associated with progression in physical disability. Distance to road has been associated with several adverse health outcomes, notably incident cardiovascular disease and mortality (Adar and Kaufman 2007), but other studies that have detected associations of ambient pollutant concentrations with health outcomes have not detected similar associations with residential distance to road (e.g.,
In the context of CHAP, data from thousands more participants and several more years of follow-up were available for the analysis of road proximity than for NO\textsubscript{X} exposure, but it is possible that any increase in statistical power offered by the road proximity measures was offset by their coarseness. For example, unlike NO\textsubscript{X} estimates, these measures do not account for traffic counts or differentiate between exposures emanating from a single road and exposures from two or more nearby roads. In addition to being conducted in different populations, born about a decade apart, the primary analyses of distance to road and primary analyses of NO\textsubscript{X} exposure entailed exposures assessed in different periods (as early as 1993 versus 1999-2004 for most) and outcome data beginning in different time points (as early as 1993 versus 2004). When we restricted the analysis of A3 roadway proximity to the 5708 participants and 10,911 observations from the NO\textsubscript{X} analyses, progressively closer proximity was associated with significantly worse progression in disability (see Supplemental Material, Table S3), consistent with the corresponding NO\textsubscript{X} association and supporting the possibility that the populations used in the primary analyses and/or their corresponding measurements may have differed in substantial ways.

In conclusion, higher long-term exposure to ambient NO\textsubscript{X} was associated with greater decline in physical function among older adults living in Chicago. This association was detected a population with TRAP exposures consistent with current levels in some areas of the US and many areas worldwide. Therefore, if our findings are confirmed in other research, air pollution reduction may be a means for reducing the population burden of physical disability and dependence.
REFERENCES


Committee on the Medical Effects of Air Pollutants. 2006. Cardiovascular Disease and Air Pollution. United Kingdom Department of Health.


Table 1. Participant characteristics\(^a\) by quartile of long-term exposure\(^b\) to oxides of nitrogen (NO\(_X\)).

<table>
<thead>
<tr>
<th>Quartile of long-term(^b) NO(_X) exposure</th>
<th>Overall(^c)</th>
<th>Lowest (20.7-36.2 ppb)</th>
<th>Second (36.3-39.6 ppb)</th>
<th>Third (39.7-43.7 ppb)</th>
<th>Highest (43.8-56.0 ppb)</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, years; mean ± SD</td>
<td>75.9 ± 7.1</td>
<td>76.8 ± 8.0</td>
<td>76.2 ± 7.2</td>
<td>75.4 ± 6.8</td>
<td>75.2 ± 6.3</td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>Male, %</td>
<td>2071 (36)</td>
<td>36</td>
<td>39</td>
<td>34</td>
<td>37</td>
<td>0.05</td>
</tr>
<tr>
<td>Race, %</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>African American</td>
<td>3668 (64)</td>
<td>35</td>
<td>41</td>
<td>83</td>
<td>98</td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>2040 (36)</td>
<td>65</td>
<td>59</td>
<td>17</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>Education, years; mean ± SD</td>
<td>12.8 ± 3.2</td>
<td>14.0 ± 3.4</td>
<td>13.1 ± 3.1</td>
<td>12.1 ± 3.1</td>
<td>11.9 ± 3.3</td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>Household income, %(^d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>&lt; $15,000</td>
<td>1149 (20)</td>
<td>13</td>
<td>15</td>
<td>26</td>
<td>27</td>
<td></td>
</tr>
<tr>
<td>$15,000-$29,999</td>
<td>2129 (37)</td>
<td>26</td>
<td>33</td>
<td>43</td>
<td>48</td>
<td></td>
</tr>
<tr>
<td>&gt; $30,000</td>
<td>2310 (40)</td>
<td>59</td>
<td>50</td>
<td>29</td>
<td>24</td>
<td></td>
</tr>
<tr>
<td>Missing</td>
<td>120 (2)</td>
<td>3</td>
<td>2</td>
<td>2</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Self-rated health, %(^d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>Excellent</td>
<td>1201 (21)</td>
<td>28</td>
<td>23</td>
<td>18</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>Good</td>
<td>2828 (50)</td>
<td>50</td>
<td>51</td>
<td>48</td>
<td>50</td>
<td></td>
</tr>
<tr>
<td>Fair</td>
<td>1400 (25)</td>
<td>19</td>
<td>21</td>
<td>29</td>
<td>29</td>
<td></td>
</tr>
<tr>
<td>Poor</td>
<td>275 (5)</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>Global cognitive score, standard units; mean ± SD</td>
<td>0.3 ± 0.8</td>
<td>0.4 ± 0.7</td>
<td>0.4 ± 0.7</td>
<td>0.2 ± 0.8</td>
<td>0.2 ± 0.8</td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>Social network score, median (iqr)(^e)</td>
<td>6 (7)</td>
<td>6 (8)</td>
<td>6 (7)</td>
<td>6 (6)</td>
<td>6 (6)</td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td>Walks &gt; 3 h per week, %</td>
<td>1030 (18)</td>
<td>19</td>
<td>20</td>
<td>16</td>
<td>17</td>
<td>0.01</td>
</tr>
<tr>
<td>Smoking status, %(^d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.004</td>
</tr>
<tr>
<td>Never</td>
<td>2673 (47)</td>
<td>48</td>
<td>46</td>
<td>47</td>
<td>46</td>
<td></td>
</tr>
<tr>
<td>Former</td>
<td>2460 (43)</td>
<td>44</td>
<td>45</td>
<td>41</td>
<td>42</td>
<td></td>
</tr>
<tr>
<td>Current</td>
<td>575 (10)</td>
<td>8</td>
<td>9</td>
<td>12</td>
<td>11</td>
<td></td>
</tr>
<tr>
<td>Alcohol intake, %(^d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>&lt; 0.0001</td>
</tr>
<tr>
<td></td>
<td>None</td>
<td>Moderate</td>
<td>Heavy</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---------------</td>
<td>-------</td>
<td>----------</td>
<td>-------</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>3693 (65)</td>
<td>1698 (30)</td>
<td>315 (6)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Systolic blood pressure, mm Hg; mean ± SD&lt;sup&gt;c&lt;/sup&gt;</td>
<td>135 ± 20</td>
<td>134 ± 21</td>
<td>135 ± 19</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diastolic blood pressure, mm Hg; mean ± SD&lt;sup&gt;c&lt;/sup&gt;</td>
<td>77 ± 11</td>
<td>78 ± 12</td>
<td>76 ± 11</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Self-reported history of:**

<table>
<thead>
<tr>
<th></th>
<th>None</th>
<th>Moderate</th>
<th>Heavy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hypertension, %&lt;sup&gt;a&lt;/sup&gt;</td>
<td>3905 (68)</td>
<td>65</td>
<td>62</td>
</tr>
<tr>
<td>Cardiovascular disease, %&lt;sup&gt;a&lt;/sup&gt;</td>
<td>818 (14)</td>
<td>14</td>
<td>15</td>
</tr>
<tr>
<td>Stroke, %&lt;sup&gt;a&lt;/sup&gt;</td>
<td>648 (11)</td>
<td>9</td>
<td>11</td>
</tr>
<tr>
<td>Cancer, %&lt;sup&gt;a&lt;/sup&gt;</td>
<td>1308 (23)</td>
<td>28</td>
<td>23</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Minimum distance to Class A3, %&lt;sup&gt;d&lt;/sup&gt;</th>
<th>Closer than 50 m</th>
<th>50 m - 99 m</th>
<th>100 m - 199 m</th>
<th>200 m or farther</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>640 (11)</td>
<td>601 (11)</td>
<td>1372 (24)</td>
<td>2095 (54)</td>
</tr>
<tr>
<td></td>
<td>5</td>
<td>7</td>
<td>24</td>
<td>64</td>
</tr>
<tr>
<td></td>
<td>8</td>
<td>10</td>
<td>26</td>
<td>56</td>
</tr>
<tr>
<td></td>
<td>15</td>
<td>14</td>
<td>24</td>
<td>47</td>
</tr>
<tr>
<td></td>
<td>16</td>
<td>12</td>
<td>22</td>
<td>49</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Moved over the course of follow-up, %&lt;sup&gt;f&lt;/sup&gt;</th>
<th>252 (4)</th>
<th>5</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>4</td>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

| Physical performance score: mean ± SD | 9.5 ± 4.1 | 9.8 ± 4.1 | 10.0 ± 4.1 | 9.1 ± 4.1 | 9.0 ± 4.0 |

Abbreviations: iqr, interquartile range; NO<sub>X</sub>, oxides of nitrogen; SD, standard deviation.

[a] Unless specified, all characteristics reflect baseline values, i.e., the values at the first assessment used in analyses of 5-year average NO<sub>X</sub> exposure.

[b] Predicted exposures averaged over 5 years.

[c] Where percentages are listed in the "Overall" column, counts are also provided [n (%)].

[d] Some columns do not sum to 100 percent due to rounding.

[e] Data on self-rated health, social network score, walking, alcohol intake, blood pressure, and self-reported history of the four chronic conditions were missing for a small percentage of participants (<2%). Values shown reflect non-missing responses. Data on these characteristics were not used in the association models.

[f] Near busy road: < 100 m from A1, A2 or truck route; or < 50 m from A3.
Table 2. Adjusted\(^a\) differences (and 95% confidence interval [CI]) in 5-year change in physical performance by exposure to traffic-related air pollution.

<table>
<thead>
<tr>
<th>Quartile of long-term NO(_X) exposure</th>
<th>Difference in 5-year change in physical performance score (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lowest (20.7-36.2 ppb)</td>
<td>0.00 reference</td>
</tr>
<tr>
<td>2nd (36.3-39.6 ppb)</td>
<td>-0.49 (-1.06, 0.08)</td>
</tr>
<tr>
<td>3rd (39.7-43.7 ppb)</td>
<td>-0.52 (-1.13, 0.10)</td>
</tr>
<tr>
<td>Highest (43.8-56.0 ppb)</td>
<td>-1.14 (-1.86, -0.42)</td>
</tr>
</tbody>
</table>

\(p_{trend} = 0.002\)

Per 10-ppb increment in long-term NO\(_X\) exposure

-0.87 (-1.35, -0.39)

Residential distance to road (N=9994)

Minimum distance to nearest truck route or A1 or A2 road

<table>
<thead>
<tr>
<th>Distance to nearest truck route or A1 or A2 road</th>
<th>Difference in 5-year change in physical performance score (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>≥ 200 m</td>
<td>0.00 reference</td>
</tr>
<tr>
<td>100-199 m</td>
<td>0.20 (0.02, 0.38)</td>
</tr>
<tr>
<td>50-99 m</td>
<td>-0.02 (-0.28, 0.23)</td>
</tr>
<tr>
<td>&lt; 50 m</td>
<td>-0.17 (-0.62, 0.28)</td>
</tr>
</tbody>
</table>

\(p_{trend} = 0.2\)

Distance to nearest A3 road

<table>
<thead>
<tr>
<th>Distance to nearest A3 road</th>
<th>Difference in 5-year change in physical performance score (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>≥ 200 m</td>
<td>0.00 reference</td>
</tr>
<tr>
<td>100-199 m</td>
<td>-0.24 (-0.38, -0.10)</td>
</tr>
<tr>
<td>50-99 m</td>
<td>-0.12 (-0.32, 0.08)</td>
</tr>
<tr>
<td>&lt; 50 m</td>
<td>-0.04 (-0.25, 0.16)</td>
</tr>
</tbody>
</table>

\(p_{trend} = 0.02\)

\(\text{[a]}\) Adjusted for age, sex, race, education, income, and smoking status.

\(\text{[b]}\) Predicted exposures averaged over 5 years.

\(\text{[c]}\) Trend p-values computed from models containing a term that took on the median values of each category.
FIGURE LEGENDS

Figure 1. Average concentrations of oxides of nitrogen (NO$_X$), in parts per billion, major roadways in CHAP study area (1999-2011), and participants’ residential locations. Residential locations have been moved slightly (jittered) to protect participants’ confidentiality.

Figure 2. Adjusted difference in 5-year change in physical performance score per 10-ppb increment in long-term NO$_X$ exposure: subgroup and sensitivity analyses. Estimates are adjusted for age, sex, race (as appropriate), education, income, and smoking status. Predicted exposures are averaged over 5 years, unless another interval is specified. Smoking status for each participant is defined at the time of the first physical performance assessment used in the analyses of long-term NO$_X$ exposure. Interaction p-values for differences in the NOX-performance change association by smoking and race are 0.7 and 0.5, respectively. Area-based SES refers to the composite area-based measure of socioeconomic status. Truncated weights refer to analyses with extreme weights truncated to the lowest 0.5 percentile and highest 99.5 percentile.
Figure 1.
Figure 2.

All participants (N=5708)

*By baseline smoking status*
- Never smokers (N=2673)
- Former smokers (N=2460)
- Current smokers (N=575)

*By race*
- African American (N=3668)
- White (N=2040)

Did not move (N=5456)

Further adjusted for area-based SES (N=5708)

*By weighting scheme and NO\textsubscript{x} averaging interval*
- Weighted (N=5708)
- Weighted using truncated weights (N=5708)
- Unweighted (N=5708)
- 4-year NO\textsubscript{x} average, weighted (N=6041)
- 3-year NO\textsubscript{x} average, weighted (N=6352)
- 2-year NO\textsubscript{x} average, weighted (N=6922)
- 1-year NO\textsubscript{x} average, weighted (N=7291)

**Difference in 5-year change in physical performance score per 10-ppb increment in NO\textsubscript{x} exposure**